

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

2584KBP-1

U.S. APPLICATION NO. (If known, see 35 CFR 1.5)

**10/533072**

INTERNATIONAL APPLICATION NO.

PCT/EP2003/004218

INTERNATIONAL FILING DATE

April 23, 2003

PRIORITY DATE CLAIMED

October 28, 2002

TITLE OF INVENTION

"PUSHER FOR SCRAPER CHAIN CONVEYORS, ESPECIALLY FOR UNDERGROUND MINING"

APPLICANT(S) FOR DO/EO/US

MALITZKI, Hans-Jürgen and DOBEK, Richard

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (20) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 10 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ A preliminary amendment.
13. ☐ An Application Data Sheet under 37 CFR 1.76.
14. ☐ A substitute specification.
15. ☒ A power of attorney and/or change of address letter.
16. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.
17. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
18. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 1.54(d)(4).
19. ☒ Other items or information: Postcard Receipt

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
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20. The following fees are submitted: <input checked="" type="checkbox"/> a) Basic national fee ..... \$300.00 <input checked="" type="checkbox"/> b) Examination fee ..... \$200.00 <input checked="" type="checkbox"/> c) Search fee ..... \$500.00  <div style="text-align: right; font-weight: bold;">TOTAL OF ABOVE CALCULATIONS = \$1,000.00</div>					Applicant use	Office use only
					\$ 300.00	
					\$ 200.00	
					\$ 500.00	
					\$ 1,000.00	

  

<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.						
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE			
31	-100 =	-69 /50 =	0	x \$250.00	\$ 0	
Surcharge of \$130.00 for finishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))					\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	13 -21 =	0	x \$50.00	\$ 0.00		
Independent claims	1 -3 =	0	x \$200.00	\$ 0.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$		
TOTAL OF ABOVE CALCULATIONS =				\$ 1,000.00		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$	
SUBTOTAL =				\$		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					\$	
TOTAL NATIONAL FEE =				\$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property					\$	
TOTAL FEES ENCLOSED =				\$ 1,000.00		
Amount to be refunded:					\$	
Amount to be charged:					\$	

  

a. ☒ A check in the amount of \$ 1,000.000 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-1970. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE  
 Douglas W. Swartz  
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**CUSTOMER NO. 22442**

JC12 R 10/533072  
PCT/PTC 28 APR 2005

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I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED  
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TYPED OR PRINTED NAME: JANICE MESSER

SIGNATURE: *Janice Messer*